

TRADE CONFIRMATION

Financial Advisor: BERNARD SPIELMAN

Office: 21 E. WORKS STREET
SHERIDAN, WY 82801

SHERIDAN WY 82801-0803

If applicable, please make checks payable to Stifel Nicolaus and remit to your branch office.



Principal:	\$39,471.20
Accrued Interest:	\$922.22
Transaction / Handling Fee:	\$5.00
Net Amount:	\$40,398.42

Transaction Type
01 (See reverse side)

CALL FEATURES EXIST AND MAY AFFECT YIELD
INFORMATION AVAILABLE UPON REQUEST
BOOK ENTRY ONLY
SUBJECT TO EXTRAORDINARY CALL
MANDATORY SINKING FUND 02/15/2026
OFFERING DOCUMENTS AND MATERIAL EVENTS ARE AVAILABLE AT
WWW.EMMA.MSRB.ORG. PRINTED OFFERING DOCUMENTS ARE
AVAILABLE UPON REQUEST.
5.09800% YIELD TO MATURITY
SEC IPO PRICE 96.8614

RATINGS: MOODY'S BAA3 AND S&P BBB

Principal:	\$9,825.00
Accrued Interest:	\$51.25
Transaction / Handling Fee	\$5.00
Net Amount:	\$9,881.25

Transaction Type
01 (See reverse side)

CALL FEATURES EXIST AND MAY AFFECT YIELD
INFORMATION AVAILABLE UPON REQUEST
BOOK ENTRY ONLY
CALLABLE ANY TIME AFTER DESIGNATED CALL DATE WITH 30 DAYS
NOTICE
MANDATORY SINKING FUND 07/01/2034
OFFERING DOCUMENTS AND MATERIAL EVENTS ARE AVAILABLE AT
WWW.EMMA.MSRB.ORG. PRINTED OFFERING DOCUMENTS ARE
AVAILABLE UPON REQUEST.
DISCRETION NOT USED
6.28600% YIELD TO MATURITY
SEC IPO PRICE 100.0000

RATINGS: MOODY'S BAA1 AND S&P BBB-

STIFEL

JOHN P DAY TRUSTEE
JOHN AND LADONNA DAY REV TRUST
U/A DATED 06/01/09

April 1 -
April 30, 2018
Account Number:

Page 10 of 16
REDACTED 5005

SECURITIES TRANSFERRED continued

Securities Transferred In/Out continued

Date	Activity	Quantity	Description	Total
4/12/2018	Journal	-40.000	CUSHING ENERGY INCOME FUND BEN INT CUSIP: 23162T102 TO: 69552575-1	-360.80
4/12/2018	Journal	-10,000.000	EMPLOYEES RETIRE SYS REV GOV COMWLTH PR RETAIL SR PENSION FDG A B/E TXBL CPN 6.150% DUE 07/01/38 DTD 01/31/08 FC 03/01/08 CALL 07/01/18 @ 100.000 CUSIP: 29216MAC4 TO: 69552575-1	-3,625.00
4/12/2018	Journal	-1,500.000	ENERGY TRANSFER PARTNERS LTD PARTNERSHIP NEW UNIT LTD PARTNERSHIP INT CUSIP: 29278N103 TO: 69552575-1	-25,605.00
4/12/2018	Journal	-100.000	ENTERPRISE PRODUCTS PARTNERS LTD PARTNERSHIP CUSIP: 293792107 TO: 69552575-1	-2,578.00
4/12/2018	Journal	-200.000	GENERAL MILLS INC CUSIP: 370334104 TO: 69552575-1	-8,916.00
4/12/2018	Journal	-37.000	GRANITE POINT MORTGAGE TRUST INC CUSIP: 38741L107 TO: 69552575-1	-626.04
4/12/2018	Journal	-1,800.000	HERCULES CAPITAL INC CUSIP: 427096508 TO: 69552575-1	-21,852.00
4/12/2018	Journal	-300.000	KINDER MORGAN INC DE CUSIP: 49456B101 TO: 69552575-1	-4,602.00

Mngd Acct Confirm Suppression
Trade Confirmation

STIFEL

Page Number: 53 of 55
Account Number: REDACTED 2575
Financial Advisor: BERNARD SPIELMAN

Phone: (307) 672-3434
Office: 51 COFFEEN AVENUE
SHERIDAN, WY 82801

JOHN P DAY TRUSTEE
JOHN & LADONNA DAY REV TRUST
U/A DATED 06/01/09
EQUITY COMPASS MANAGED ACCOUNT
PO BOX 803
SHERIDAN WY 82801-0803

Trade Date	Security Description	CUSIP/Sec. No.	Quantity Sold	Price
04/13/2018	EMPLOYEES RETIRE SYS REV GOV COMWLTH PR RETAIL SR PENSION FDG A B/E TXBL CPN 6.150% DUE 07/01/38 DTD 01/31/08 FC 03/01/08 CALL 07/01/18 @ 100.000	29216MAC4	10,000	33.50700
Principal:				\$3,350.70
Net Amount:				\$3,350.70

Settlement Date: 04/17/2018
Account Type: CASH ACCOUNT
Transaction Type: As agent

Special Remarks for This Transaction:

INTEREST FLAT
CALL FEATURES EXIST AND MAY AFFECT YIELD
INFORMATION AVAILABLE UPON REQUEST
BOOK ENTRY ONLY
CALLABLE ANY TIME AFTER DESIGNATED CALL DATE WITH 30 DAYS
NOTICE
MANDATORY SINKING FUND 07/01/2034
IN DEFAULT
*
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AVAILABLE UPON REQUEST.
DISCRETION USED
SEC IPO PRICE 100.00000
RATINGS: MOODY'S C AND S&P D